

28 Novembre

$$|a| < 0$$

### Main Theorem

Let  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$   $|a| < 1$ . Let  $C_\varphi$  be operator on  $H^2$

McCluer & C  
1994

① If  $\varphi$  is univalent, not an automorphism

or

Kamowitz  
1975

②  $\varphi$  is analytic in a neighborhood of  $\overline{\mathbb{D}}$

Then  $\sigma(C_\varphi) = \{ \lambda : |\lambda| \leq \rho \} \cup \{ \varphi(a)^k : k=1, 2, \dots \} \cup \{ 0 \}$   
where  $\rho$  is the essential spectral radius of  $C_\varphi$ .

Thm If  $\varphi$  is a univalent map of  $\mathbb{D} \rightarrow \mathbb{D}$   
then the essential norm of  $C_\varphi$ ,  $\|C_\varphi\|_e$  is

$$\|C_\varphi\|_e = \limsup_{|w| \rightarrow 1^-} \frac{\|K_{\varphi(w)}\|}{\|K_w\|}$$

$$\text{and } \rho = \lim_{n \rightarrow \infty} \left( \limsup_{|w| \rightarrow 1^-} \left( \frac{\|K_{\varphi_n(w)}\|}{\|K_w\|} \right)^{1/n} \right)$$

Proof idea As  $|w| \rightarrow 1$   $\langle f, \frac{K_w}{\|K_w\|} \rangle \rightarrow 0 \quad \forall f$

Thm  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$  and  $b \in \partial\mathbb{D}$   
is a point for which  $|\varphi(b)| = 1$   
then  $\rho \geq \frac{1}{|\varphi'(b)|}$

Thm If  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$  and  $|a| < 1$

~~Proof in a form of a theorem~~  
 then  $\sigma(C_\varphi) \supset \{1\} \cup \{\varphi'(a)^n\}_{n=1}^\infty$ .

Proof matrix for  $C_\varphi^*$  after  $\varphi$  adjusted  
 to make  $a=0$ .

Thm If  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$  and  $|a| < 1$

and  $|\varphi'(a)^k| > \rho = \text{essential spectral radius of } C_\varphi$

then ①  $\varphi'(a)^k$  is an eigenvalue of  $C_\varphi$  of multiplicity 1  
 and has eigenvector  $\sigma^{1-k}$

②  $\overline{\varphi'(a)^k}$  is an eigenvalue of  $C_\varphi^*$  of multiplicity 1

③ 0 is not an eigenvalue of  $C_\varphi$  for  $\varphi$  non-constant

and ④ if  $\varphi$  is not univalent then 0 is an  
 eigenvalue of  $C_\varphi^*$  of infinite multiplicity.

Proof ① follows from König's Theorem and the general  
 fact that for  $\lambda \in \sigma(A)$   $|\lambda| > \text{ess. spec. radius of } A$   
 $\Rightarrow \lambda$  is eigenvalue of  $A$   
 $\bar{\lambda}$  is eigenvalue of  $A^*$   
 + both have same finite multiplicity

②

③  $\varphi$  non-constant  $\Rightarrow \varphi(\mathbb{D})$  is open in  $\mathbb{D}$   
 and  $f \in \varphi \Rightarrow 0 \Rightarrow f(\varphi(\mathbb{D})) = 0 \Rightarrow f \equiv 0$

④ Suppose  $\alpha, \beta \in \mathbb{D}$  and  $\varphi(\alpha) = \varphi(\beta)$

then  $C_\varphi^*(k_\alpha - k_\beta) = k_{\varphi(\alpha)} - k_{\varphi(\beta)} = 0$

but because  $\varphi$  is open mapping  $\varphi(\alpha) = \varphi(\beta) \Rightarrow \varphi(\alpha) \in \varphi(V) \neq \emptyset$   
 for a neighborhood of  $\alpha, \beta$  respectively so infinitely many pairs

Example  $\varphi(z) = \frac{z}{2-z}$   $a=0$   $\varphi'(0) = \frac{1}{2}$

$\varphi$  univalent

$$\sigma(C_\varphi) = \{\lambda: |\lambda| \leq 1/\sqrt{2}\} \cup \{1\}$$

$$\|C_\varphi|_{zH^2}\| = \|C_\varphi|_{\mathbb{C}}\|_e = \rho = \frac{1}{\sqrt{2}}$$

so theorem gives result in this case (as expected)

Moreover, we know in this case  $(C_\varphi|_{zH^2})^* \cong \frac{1}{z} C_{\frac{1}{2}z + \frac{1}{2}}$

and this means every point of  $\{\lambda: 0 < |\lambda| < \frac{1}{\sqrt{2}}\}$  is an eigenvalue of  $C_\varphi^*$  of infinite multiplicity.

In fact this is not, I think uncommon!

Conjecture: MacCluer-C~~owan~~ (~1994)

If the spectrum of  $C_\varphi$  has circular symmetry in some part, there is an invariant subspace for  $C_\varphi$  or  $C_\varphi^*$  on which the restriction is similar to a weighted shift.

Not proved ~~by~~ but proved in some special cases; no hints as to an example on which it might be false.

Wahl (1997)  $\varphi(z) = \frac{z^2(1-z)}{1-2cz}$   $c$  such that  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$

then  $\varphi(0) = \varphi'(0) = 0$  and  $\varphi(1) = 1, 1 < \varphi'(1) < \infty$

so  $C_\varphi$  not compact.  $\rho = \frac{1}{\sqrt{|\varphi'(1)|}} = \|C_\varphi\|_e$

Thm (M Neophytou (2011))

Suppose  $\varphi(z) = a_j z^j + a_{j+1} z^{j+1} + \dots$   $j \geq 2$   $a_j \neq 0$ ,  
and  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$ .

If there is  $b$  with  $|b|=1$  so that  $b$  is a  
fixed point of  $\varphi$  with  $\varphi'(b) < \infty$  and [arithmetic hypothesis]  
then  $\{\lambda: |\lambda| < 1/\sqrt{|\varphi'(b)|}\} \subset \sigma(C_\varphi)$   
and each number in this set  $\lambda$  is an eigenvalue of  
infinite multiplicity for  $C_\varphi^*$ .