

Weighted shift operators.

See:

A L Shields Weighted shift operators & analytic function theory  
 in Topics in OpThy 1974 AMS Surveys # 13 p49-128

$W$  is a weighted shift operator on  $\ell^2$  if there is a sequence of complex numbers  $(w_n)$  so that  
 $W e_k = w_k e_{k+1}$  for  $k=0, 1, 2, \dots$

So  $W \sim \begin{pmatrix} 0 & 0 & 0 & 0 \\ w_0 & 0 & 0 & 0 \\ 0 & w_1 & 0 & 0 \\ 0 & 0 & w_2 & 0 \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$

Clearly  $W$  bounded  $\Rightarrow (w_n)$  is bdd  
 and  $\|W\| \geq \sup |w_n|$

WLOG  $w_n \geq 0$  for all  $n$  because there is a diagonal unitary operator that shows

$W \cong \begin{pmatrix} 0 & & & \\ |w_0| & & & \\ & |w_1| & & \\ & & \ddots & \end{pmatrix}$

Moreover we assume  $w_n > 0$  otherwise there are finite dimensional invariant subspaces for  $W$  on which  $W^k = 0$ .

For simplicity we will assume  $\lim_{n \rightarrow \infty} w_n = L$  where  $0 < L < \infty$

It is easy to see that  $Wv = \lambda v \Rightarrow \lambda = 0$  or  $v = 0$   
 On the other hand,  $W^*v = \lambda v$  has a chance!

$$W^* v = \begin{pmatrix} 0 & w_0 & 0 & 0 & 0 \\ 0 & 0 & w_1 & 0 & 0 \\ 0 & 0 & 0 & w_2 & 0 \\ & & & & \vdots \end{pmatrix} \begin{pmatrix} v_0 \\ v_1 \\ v_2 \\ \vdots \end{pmatrix} = \begin{pmatrix} w_0 v_1 \\ w_1 v_2 \\ \vdots \end{pmatrix} \stackrel{?}{=} \begin{pmatrix} v_0 \\ v_1 \\ \vdots \end{pmatrix}$$

We get  $w_k v_{k+1} = \lambda v_k$

Suppose  $v_0 = 1$

then  $w_0 v_1 = \lambda v_0 = \lambda$

and  $v_1 = \frac{\lambda}{w_0}$

then  $w_1 v_2 = \lambda v_1 = \frac{\lambda^2}{w_0}$

so  $v_2 = \frac{\lambda^2}{w_1 w_0}$

( $v \neq 0 \Rightarrow \exists v_k \neq 0$   
and since eigenspaces are subspaces WLOG  $\exists k_0$  so  
that  $v_0 = v_1 = \dots = v_{k_0-1} = 0$   
and  $v_{k_0} = 1$ )

we see by induction that for  $n \geq 2$   $v_n = \frac{\lambda^n}{w_{n-1} w_{n-2} \dots w_1 w_0}$

So the question is When is this  $v$  in  $l^2$ ?

$$\Leftrightarrow \sum \left| \frac{\lambda^n}{w_{n-1} \dots w_0} \right|^2 < \infty$$

$$\text{i.e. } \Leftrightarrow \sum \frac{(|\lambda|^2)^n}{(w_{n-1} \dots w_0)^2} < \infty$$

$$\Leftrightarrow \lim_{n \rightarrow \infty} \left( \frac{|\lambda|^{2n}}{(w_{n-1} \dots w_0)^2} \right)^{1/n} < 1$$

$$\Leftrightarrow \frac{|\lambda|^2}{L^2} < 1 \Leftrightarrow |\lambda| < L$$

E.g. "The" unilateral shift  $*$  has eigen vectors  $(1, \alpha, \alpha^2, \dots) \in l^2$  for  $|\alpha| < 1$

and no others.

$$\Leftrightarrow \text{on } \mathbb{H}^2 \quad \sum_{n=0}^{\infty} (\alpha z)^n = \frac{1}{1 - \alpha z} = K_\alpha$$

See H.S. Shapiro & A.L. Shields "On interpolation problems for analytic functions" *Amer. J. Math.* 83(1961) 513-532.  
 (See 7 Nov for Def:  $(z_n) \in \mathbb{D}$  is interpolating sequence if for all  $(a_n) \in \ell^\infty \exists \varphi \in H^\infty$  so that  $\varphi(z_n) = a_n$ )

Suppose  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$ ,  $|a| = 1, 0 < \varphi'(a) < 1$   
 (Half-plane dilation case)  
 then  $(\varphi_n(\alpha))$  is always an interpolating sequence

Shapiro-Shields says  $(\beta_n)$  sequence in disk ~~is~~  
 is interpolating  $\iff \left( \frac{K_{\beta_n}}{\|K_{\beta_n}\|} \right)$  is a basic sequence in  $H^2$

in other words, there is a bounded operator  $V$  which has a bounded inverse so that  
 $V: \ell^2 \rightarrow \text{span}\{K_{\beta_n}\}^{\text{closed}} \subset H^2$   
 so that  $V(e_n) = \frac{K_{\beta_n}}{\|K_{\beta_n}\|}$  for each  $n$

Now if  $\alpha \in \mathbb{D}$  then  $\{\varphi_n(\alpha)\}$  is interpolating  
 and  $\exists V: \ell^2 \rightarrow \text{span}\{K_{\varphi_n(\alpha)}\} = (BH)^\perp$   
 where  $B$  is Blaschke product with  $B(\varphi_n(\alpha)) = 0$   
 and no other zeros

Now  $C_\varphi^* K_{\varphi_n(\alpha)} = K_{\varphi_{n+1}(\alpha)}$   
 So  $C_\varphi^* \left( \frac{1}{\|K_{\varphi_n(\alpha)}\|} K_{\varphi_n(\alpha)} \right) = \frac{1}{\|K_{\varphi_{n+1}(\alpha)}\|} K_{\varphi_{n+1}(\alpha)}$   
 $= \frac{\|K_{\varphi_{n+1}(\alpha)}\|}{\|K_{\varphi_n(\alpha)}\|} \left( \frac{K_{\varphi_{n+1}(\alpha)}}{\|K_{\varphi_{n+1}(\alpha)}\|} \right)$

$$\text{So } V^{-1} C_{\varphi}^* \Big|_{(B\mathbb{H}^2)^{\perp}} V e_n = V^{-1} C_{\varphi}^* \frac{K_{\alpha_n}}{\|K_{\alpha_n}\|} = V^{-1} \frac{\|K_{\alpha_n}\|}{\|K_{\alpha_n}\|} \frac{K_{\alpha_n}}{\|K_{\alpha_n}\|} = \frac{\|K_{\alpha_n}\|}{\|K_{\alpha_n}\|} e_{n+1}$$

$$\lim_{n \rightarrow \infty} \frac{\|K_{\alpha_n}\|}{\|K_{\alpha_n}\|} = \lim_{n \rightarrow \infty} \sqrt{\frac{1}{1 - |\varphi_{\alpha_n}(z)|^2}} = \sqrt{\lim_{n \rightarrow \infty} \frac{1 - |\varphi_{\alpha_n}(z)|^2}{1 - |\varphi_{\alpha_n}(z)|^2}} = \frac{1}{\sqrt{2}}$$

So  $C_{\varphi}^* \Big|_{(B\mathbb{H}^2)^{\perp}}$  is a weighted shift

and  $(C_{\varphi}^* \Big|_{(B\mathbb{H}^2)^{\perp}})^*$  has eigenvalues  
and we can compute spectrum of ~~the~~ the operator  $C_{\varphi}^* \Big|_{(B\mathbb{H}^2)^{\perp}}$