

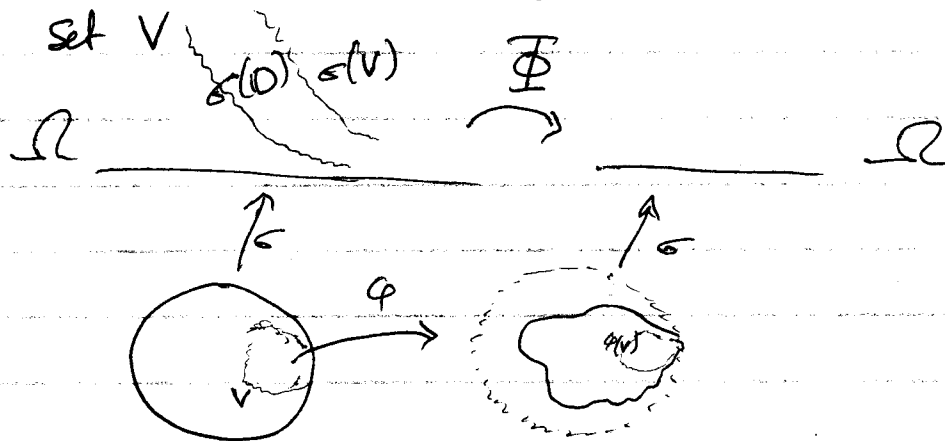
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### Model for iteration for analytic functions mapping $\mathbb{D}$ into $\mathbb{D}$

Let  $\varphi$  be analytic mapping of  $\mathbb{D}$  into itself, non-constant and not an automorphism of  $\mathbb{D}$ , and let  $a$  be Denjoy-Wolff point of  $\varphi$ . If  $\varphi'(a) \neq 0$ , there is a fundamental set  $V$  for  $\varphi$  on  $\mathbb{D}$ , a domain  $\Omega$ , either the plane or a half-plane, an automorphism  $\Phi$  of  $\Omega$  onto  $\Omega$ , and a mapping  $\sigma$  of  $\mathbb{D}$  into  $\Omega$  such that  $\varphi$  and  $\sigma$  are univalent on  $V$ ,  $\sigma(V)$  is a fundamental set for  $\Phi$  on  $\Omega$  and

$$\Phi \circ \sigma = \sigma \circ \varphi$$

Moreover  $\Phi$  is unique up to an automorphism of  $\Omega$  onto  $\Omega$  and  $\Phi$  and  $\sigma$  depend only on  $\varphi$  not on the fundamental



Def If  $\psi$  maps  $\Delta$  ~~onto~~ <sup>into</sup> itself, we say  $V$  is a fundamental set for  $\psi$  on  $\Delta$  if  $V$  is an open connected, simply connected subset of  $\Delta$  such that  $\psi(V) \subset V$  and for every compact set  $K$  in  $\Delta$ , there is a positive integer  $n$  so that  $\psi_n(K) \subset V$

The point of the model is that

$$\begin{aligned}\Phi \circ \sigma &= \sigma \circ \varphi \Rightarrow \\ \sigma \circ \varphi \circ \varphi &= \Phi \circ \sigma \circ \varphi = \Phi \circ \Phi \circ \sigma \\ \text{i.e. } \sigma \circ \varphi_2 &= \Phi_2 \circ \sigma\end{aligned}$$

Similarly  $\sigma \circ \varphi_n = \Phi_n \circ \sigma$

So  $\sigma$  is a "change of variables" that changes the (hard to understand) iterates of  $\varphi$  into the (easy to understand) iterates of  $\Phi$

The point of fundamental sets — gives uniqueness in the model.

Thm  $\sigma$  is univalent on  $\mathbb{D}$  if and only if  $\varphi$  is univalent on  $\mathbb{D}$ .

Four cases

(plane dilation)  $\Omega = \mathbb{C}$ ,  $\sigma(a) = 0$ ,  $\Phi(w) = sw$   
where  $0 < |s| < 1$

(plane translation)  $\Omega = \mathbb{C}$ ,  $\sigma(a) = \infty$ ,  $\Phi(w) = w + 1$

(half-plane dilation)  $\Omega = \{w : \operatorname{Re} w > 0\}$ ,  $\sigma(a) = 0$ ,  $\Phi(w) = sw$   
where  $0 < s < 1$

(half-plane translation)  $\Omega = \{w : \operatorname{Im} w > 0\}$ ,  $\sigma(a) = \infty$   
 $\Phi(w) = w + 1$

Proof is a matter of constructing  $\Omega$  as a virtual domain by looking for "preimages" of points in disk:

we know  $\alpha, \varphi(\alpha), \varphi(\varphi(\alpha)), \dots, \varphi_n(\alpha), \dots \rightarrow a$   
we want to construct " $\varphi^{-1}(\alpha)$ ", " $\varphi^{-1}(\varphi^{-1}(\alpha))$ " ... " $\varphi_{-n}(\alpha)$ " ...

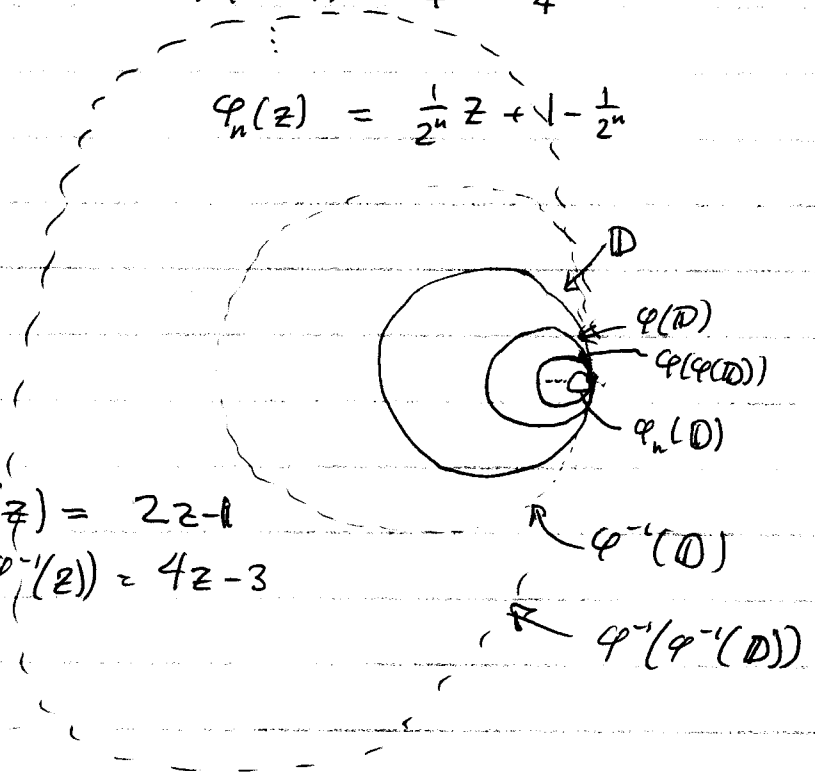
Example

$$\varphi(z) = \frac{1}{2}z + \frac{1}{2}$$

$$\varphi(\varphi(z)) = \frac{1}{4}z + \frac{3}{4}$$

$$\varphi_n(z) = \frac{1}{2^n}z + 1 - \frac{1}{2^n}$$

$$\varphi_n \rightarrow 1 = a$$



$$\varphi^{-1}(z) = 2z - 1$$

$$\varphi^{-1}(\varphi^{-1}(z)) = 4z - 3$$

$$\varphi^{-n}(z) = \varphi_{-n}(z) = 2^n z - 2^n + 1$$

$$\Omega = \bigcup_{n=1}^{\infty} \varphi_{-n}(D) = \{z : \operatorname{Re} z < 1\}$$

In fact  $\varphi(z) = \frac{1}{2}z + \frac{1}{2}$  is in Half-plane dilation case  
DW pt is  $a=1$

$$w = \sigma(z) = -z + 1 \quad \Phi(w) = \frac{1}{2}w$$

$$\text{Check } \sigma(\varphi(z)) = -\frac{1}{2}z + \frac{1}{2} = \Phi(\sigma(z))$$

For general  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$   $\varphi'(a) \neq 0$

construct  $\Omega$  as a Riemann surface of equivalence classes of "inverse images" of points of  $V \subset \mathbb{D}$

use complex structure of  $V$  to get complex structure on  $\Omega$  +  $\Phi: \Omega \rightarrow \Omega$  by using  $\varphi$  + virtual structure

→ This works in  $\mathbb{C}^n$  for the ball, polydisk, etc in principle •

Last steps: for  $\mathbb{D}$  show  $\Omega$  is contractible, connected simply connected non-compact Riemann surface and  $\Phi$  is an automorphism of  $\Omega$  onto  $\Omega$

Just 2 Riemann surfaces, 2 types automorphisms each!

For ball, polydisk there are potentially uncountably many possible non-equivalent complex  $n$ -manifolds.

$\sigma$  takes D-W pt of  $\varphi$  to D-W point of  $\Phi$   
 $\varphi'(a) = \Phi'(\sigma(a))$

Plane dilation:  $a \in \mathbb{D}, 0 < |\varphi'(a)| < 1$

plane translation  $a \in \partial\mathbb{D} \quad \varphi'(a) = 1$

half plane dilation  $a \in \partial\mathbb{D} \quad 0 < \varphi'(a) < 1$

half plane translation  $a \in \partial\mathbb{D} \quad \varphi'(a) = 1$

Def A sequence in  $\mathbb{D}$ ,  $\{z_n\}_{n=1}^{\infty}$  is called an interpolating sequence (for  $H^{\infty}$ ) if for each sequence  $\{a_n\}_{n=1}^{\infty}$  in  $\mathbb{C}$  that is bounded, that is  $\exists M > 0$  s.t. that  $|a_n| \leq M$  for all  $n=1, 2, \dots$ , there is  $\varphi$  bounded and analytic on  $\mathbb{D}$  ( $\varphi \in H^{\infty}(\mathbb{D})$ ) such that  $\varphi(z_n) = a_n$  for all  $n=1, 2, \dots$ .

Points in an interpolating sequence are "far apart" in a technical sense.

Thm If  $\varphi: \mathbb{D} \rightarrow \mathbb{D}$  has Denjoy-Wolff point  $a$  on  $\partial\mathbb{D}$  and  $\varphi'(a) = 1$  then  $\varphi$  is in the half plane translation case if and only if for some  $\alpha$  in  $\mathbb{D}$ ,  $\{\varphi_n(\alpha)\}$  is an interpolating sequence if and only if for all  $\alpha$  in  $\mathbb{D}$   $\{\varphi_n(\alpha)\}$  is an interpolating sequence.

and

$\varphi$  is in plane translation case if and only if for some  $\alpha$  in  $\mathbb{D}$ ,  $\{\varphi_n(\alpha)\}$  is not an interpolating sequence if and only if for all  $\alpha$  in  $\mathbb{D}$   $\{\varphi_n(\alpha)\}$  is not an interpolating sequence.

Thm If  $\varphi$  is as above and there is  $\alpha$  so that  $\varphi_n(\alpha) \rightarrow a$  non-tangentially and then  $\varphi$  is in plane translation case. Cor If  $\varphi((-1, 1)) \subset (-1, 1)$  then  $\varphi$  is in plane translation case.  $\varphi(1) = 1 = \varphi'(1)$   
 $\varphi$  in plane translation case