

# Unitary Equivalence of One-parameter Groups of Toeplitz and Composition Operators

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Functional Analysis Valencia, 11 June 2010

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Joint work with Eva Gallardo Gutiérrez, U. Zaragoza, Spain

The Hardy Hilbert space on the unit disk,  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  is:

$$H^2 = \left\{ f \text{ analytic in } \mathbb{D} : f(z) = \sum_{n=0}^{\infty} a_n z^n \text{ with } \|f\|^2 = \sum |a_n|^2 < \infty \right\}$$

where for  $f$  and  $g$  in  $H^2$ , we have  $\langle f, g \rangle = \sum a_n \bar{b}_n$

and we will consider two types of operators on  $H^2$ :

For  $\psi$  an analytic map of  $\mathbb{D}$  into the complex plane,

the *analytic Toeplitz operator*  $T_\psi$  is

$$(T_\psi f)(z) = \psi(z)f(z) \quad \text{for } f \text{ in } H^2$$

and, for  $\varphi$  an analytic map of  $\mathbb{D}$  into itself,

the *composition operator*  $C_\varphi$  is

$$(C_\varphi f)(z) = f(\varphi(z)) \quad \text{for } f \text{ in } H^2$$

For example, if  $\varphi$  is defined by

$$\varphi(z) = \frac{3z + 1}{z + 3}$$

then  $\varphi$  is an automorphism of the disk  $\mathbb{D}$  with  $\varphi(\pm 1) = \pm 1$  and  $\varphi'(1) = \frac{1}{2}$

The spectrum of  $C_\varphi$  is the annulus

$$\sigma(C_\varphi) = \{\lambda : \frac{1}{\sqrt{2}} \leq |\lambda| \leq \sqrt{2}\}$$

and each  $\lambda$  with

$$\frac{1}{\sqrt{2}} < |\lambda| < \sqrt{2}$$

is an eigenvalue of infinite multiplicity for  $C_\varphi$ .

Similarly, if  $\psi$  is defined by

$$\psi(z) = \left( \frac{1-z}{1+z} \right)^{(i \log 2)/\pi}$$

then  $\psi$  is the covering map of the disk  $\mathbb{D}$  onto the annulus

$$\psi(\mathbb{D}) = \left\{ \lambda : \frac{1}{\sqrt{2}} < |\lambda| < \sqrt{2} \right\}$$

The spectrum of the Toeplitz operator  $T_{\psi} = T_{\psi}^*$  is the annulus

$$\psi(\mathbb{D}) = \left\{ \lambda : \frac{1}{\sqrt{2}} \leq |\lambda| \leq \sqrt{2} \right\}$$

and each  $\lambda$  with

$$\frac{1}{\sqrt{2}} < |\lambda| < \sqrt{2}$$

is an eigenvalue of infinite multiplicity for  $T_{\psi}^*$ .

Both of these operators are part of one-parameter groups of operators:

$$\varphi_t(z) = \frac{(1 + e^{-t})z + (1 - e^{-t})}{(1 - e^{-t})z + (1 + e^{-t})}$$

with  $C_{\varphi_s}C_{\varphi_t} = C_{\varphi_s \circ \varphi_t} = C_{\varphi_{s+t}}$  for  $-\infty < s, t < \infty$

and

$$\psi_t(z) = \left( \frac{1 - z}{1 + z} \right)^{it/\pi}$$

with  $T_{\psi_s}^* T_{\psi_t}^* = T_{\psi_s \psi_t}^* = T_{\psi_{s+t}}^*$  for  $-\infty < s, t < \infty$

and each  $\lambda$  with

$$e^{-t/2} < |\lambda| < e^{t/2}$$

is an eigenvalue of infinite multiplicity for each of  $C_{\varphi_t}$  and  $T_{\psi_t}^*$ .

## **IDEA!!**

If there were a connection (e.g. similarity or unitary equivalence)

between these operators,

then the eigenvectors for each of these operators should correspond

to the eigenvectors for the same eigenvalue for the other operator!

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TRY infinitesimal generators!

The infinitesimal generator of a (semi)group  $A_t$  of operators  
is an operator  $G$  such that for each  $f$  (in the domain of  $G$ )

$$Gf = \left. \frac{d}{dt} \right|_{t=0} A_t f$$

and analogous to the ideas from solution of first order linear elementary  
differential equations, we imagine that

$$A_t \text{ “=” } e^{tG}$$

The infinitesimal generator of the group of composition operators is

$$\begin{aligned}
 \left( \frac{d}{dt} \Big|_{t=0} C_{\varphi_t} f \right) (z) &= \frac{d}{dt} \Big|_{t=0} f(\varphi_t(z)) \\
 &= f'(\varphi_t(z)) \frac{2e^{-t}(1-z^2)}{[(1-e^{-t})z + (1+e^{-t})]^2} \Big|_{t=0} \\
 &= f'(z) \frac{1-z^2}{2}
 \end{aligned}$$

so  $G$  is the differential operator

$$(Gf)(z) = \frac{1}{2}(1-z^2)f'(z)$$

A similar calculation gives the infinitesimal generator,  $H$ , of the group  $T_{\psi_t}^*$ .

Eigenvectors for the same eigenvalues of  $G$  and  $H$  should also be connected!

**GOOD NEWS!!**

The corresponding eigenspaces are 1-dimensional!

Let's try to match them up!

## GOOD NEWS!!

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Let's try to match them up!

For  $-1/2 < \operatorname{Re} \lambda < 1/2$ , the eigenvectors of  $G$  and  $H$  are multiples of

$$w_\lambda = \left( \frac{1-z}{1+z} \right)^{-\lambda} \quad \text{and} \quad v_\lambda = \left( 1 - \frac{-i \sin(\lambda \frac{\pi}{2})}{\cos(\lambda \frac{\pi}{2})} z \right)^{-1}$$

and, for each  $G$  and  $H$ ,

the eigenvectors corresponding to  $-1/2 < \lambda < 1/2$  have dense span in  $H^2$

If  $G$  and  $H$  are to correspond to each other,

for  $-1/2 < \lambda, \mu < 1/2$ ,

the relationship between  $w_\lambda$  and  $w_\mu$  should be analogous to

the relationship between  $v_\lambda$  and  $v_\mu$ .

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## **BAD NEWS!!**

Nasty computations give:

$$2\langle v_\lambda, v_\mu \rangle = \langle w_\lambda, w_\mu \rangle + 1$$

Not a good correspondence!

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## **BAD NEWS!!**

Nasty computations give:

$$2\langle v_\lambda, v_\mu \rangle = \langle w_\lambda, w_\mu \rangle + 1$$

Not a good correspondence!

AND it can't be fixed by multiplying the vectors by a constant!



**Lemma.**

For  $D$  bounded operator on Hilbert space  $\mathcal{H}$  and  $M$  an invariant subspace, then  $M^\perp$  is an invariant subspace for  $D^*$ .

$$D = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \quad D^* = \begin{pmatrix} A^* & 0 \\ B^* & C^* \end{pmatrix}$$

**Lemma.**

For  $D$  bounded operator on Hilbert space  $\mathcal{H}$  and  $M$  an invariant subspace, then  $M^\perp$  is an invariant subspace for  $D^*$ .

Furthermore, if  $r$  is an eigenvector for  $D$  with eigenvalue  $\lambda$  and  $r = p + q$  where  $p$  is in  $M$  and  $q$  is in  $M^\perp$ ,

then either  $q = 0$  or  $q$  is an eigenvector for the eigenvalue  $\lambda$  for the compression of  $D$  to  $M^\perp$ , which is the adjoint of the restriction of  $D^*$  to its invariant subspace  $M^\perp$ .

$$\begin{pmatrix} \lambda p \\ \lambda q \end{pmatrix} = \lambda \begin{pmatrix} p \\ q \end{pmatrix} = \lambda r = Dr = \begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \begin{pmatrix} p \\ q \end{pmatrix} = \begin{pmatrix} Ap + Bq \\ Cq \end{pmatrix}$$

$$\text{so } \lambda q = Cq$$

Let  $[1]$  denote subspace of  $H^2$  spanned by constants so that  $H^2 = [1] \oplus zH^2$ .

For each  $t$ , the subspace  $zH^2$  is an invariant subspace for  $T_{\psi_t}$  and for  $C_{\varphi_t}^*$ .

Letting  $x_\lambda = w_\lambda - 1$  and  $u_\lambda = v_\lambda - 1$ , each in  $zH^2$ , work above means that

$x_\lambda$  and  $u_\lambda$  are each eigenvectors of the compressions of  $C_{\varphi_t}$  and  $T_{\psi_t}^*$  to  $zH^2$

and they are eigenvectors of the compressions of  $G$  and  $H$  to  $zH^2$

that correspond to the same eigenvalues.

Let  $[1]$  denote subspace of  $H^2$  spanned by constants so that  $H^2 = [1] \oplus zH^2$ .

$zH^2$  is an invariant subspace for  $T_{\psi_t}$  and for  $C_{\varphi_t}^*$  for each  $t$ .

Letting  $x_\lambda = w_\lambda - 1$  and  $u_\lambda = v_\lambda - 1$ , each in  $zH^2$ , this means that

$x_\lambda$  and  $u_\lambda$  are each eigenvectors of the compressions of  $C_{\varphi_t}$  and  $T_{\psi_t}^*$  to  $zH^2$

and they are eigenvectors of the compressions of  $G$  and  $H$  to  $zH^2$

that correspond to the same eigenvalues.

**A MIRACLE:**

$$2\langle u_\lambda, u_\mu \rangle = \langle x_\lambda, x_\mu \rangle$$

**Theorem.**

(1) *The operator  $U$  defined by*

$$U(x_\lambda) = \sqrt{2}u_\lambda$$

*can be extended to a unitary operator of  $zH^2$  onto itself.*

(2) *For each real number  $t$ ,*

$$U C_{\varphi_t}^*|_{zH^2} = T_{\psi_t}|_{zH^2} U$$

*so the operators  $C_{\varphi_t}^*|_{zH^2}$  and  $T_{\psi_t}|_{zH^2}$  are unitarily equivalent.*

That is, there is a unitary operator on  $zH^2$  that shows the restrictions of

$C_{\varphi_t}^*$  and  $T_{\psi_t}$  to  $zH^2$  are unitarily equivalent for each  $t$ .

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Slides posted on webpage:

[www.math.iupui.edu/~ccowen/FAV10.html](http://www.math.iupui.edu/~ccowen/FAV10.html)