

There are 9 pages, 8 questions, and 160 points on this test.

- Proofs should quote results from the class to justify assertions, as in ‘By the rank-nullity theorem, we can see ...’.
- If you need to use a property of a vector space or transformation or use a theorem in your answer, explain what property or theorem you are using and indicate specifically why the hypotheses of the theorem are satisfied.
- Explain your answers for each question in such a way that your reasoning can be followed!!

**NOTE!** Throughout this test, unless otherwise specified, assume that you are working over a field  $\mathbb{F}$ .

1. Let  $A$  be an  $m \times n$  matrix over the field  $\mathbb{F}$  and let  $B$  be an  $n \times p$  matrix over  $\mathbb{F}$ .

- (a) Prove: If  $v_1, v_2, \dots, v_j$  are vectors in  $\mathbb{F}^n$  such that  $\{v_1, v_2, \dots, v_j\}$  is a linearly dependent set, then  $\{Av_1, Av_2, \dots, Av_j\}$  is a linearly dependent set in  $\mathbb{F}^m$ .

Proof Since  $\{v_1, v_2, \dots, v_j\}$  is a linearly dependent set,

there are  $a_1, a_2, \dots, a_j$  not all zero so that

$$a_1v_1 + a_2v_2 + \dots + a_jv_j = 0$$

Thus  $A(a_1v_1 + a_2v_2 + \dots + a_jv_j) = A0 = 0$

By linearity, we get  $a_1Av_1 + a_2Av_2 + \dots + a_jAv_j = 0$ , also.

Since not all  $a_i$ 's are zero this means  $Av_1, Av_2, \dots, Av_j$  are linearly dependent.  $\square$

- (b) Use the result of part (a) to show that if the range of  $B$  has dimension  $k$ , then the dimension of the range of  $AB$  is no more than  $k$ , that is,  $\text{rank}(AB) \leq \text{rank}(B)$ .

Proof Let  $j > k$  and  $w_1, w_2, \dots, w_j$  in  $\mathcal{R}(AB)$  be given.

There  $v_1, v_2, \dots, v_j$  in  $\mathbb{F}^n$  so that

$$w_1 = ABv_1, w_2 = ABv_2, \dots, w_j = ABv_j.$$

In particular,  $Bv_1, Bv_2, \dots, Bv_j$  are vectors in  $\mathcal{R}(B)$

and because  $\text{rank}(B) = k$  and  $j > k$

we know  $Bv_1, Bv_2, \dots, Bv_j$  are linearly dependent.

By part (a), this means that

$$w_1 = A(Bv_1), w_2 = A(Bv_2), \dots, w_j = A(Bv_j)$$

are linearly dependent. Since every collection of vectors

in  $\mathcal{R}(AB)$  that includes more than  $k$  vectors, is dependent,  $\dim \mathcal{R}(AB) \leq k$ .  $\square$

- (20 points) 2. Let  $S$  and  $T$  be linear operators on the finite dimensional vector space  $\mathcal{V}$  such that  $ST = TS$ . Show that if  $p$  is any polynomial in  $\mathbb{F}[x]$ , the nullspace of  $p(S)$  is an invariant subspace for  $T$ .

Clearly  $SI = IS$  for  $I$  the identity operator on  $\mathcal{V}$

$$\text{Since } ST = TS$$

$$\text{we see } S^2T = S(ST) = S(TS) = (ST)S = (TS)S = TS^2,$$

$$S^3T = S(S^2T) = S(TS^2) = (ST)S^2 = (TS)S^2 = T(S^2) = TS^3$$

and so on, so we see

$$S^nT = TS^n \text{ for every non-negative integer } n.$$

Since  $p(S)$  is a linear combination of monomials in  $S$ , this means  $p(S)T = Tp(S)$  for every polynomial in  $\mathbb{F}[x]$ .

Let  $p$  be a polynomial in  $\mathbb{F}[x]$  and let

$W$  be the nullspace of  $p(S)$  in  $\mathcal{V}$ .

$$\text{If } w \in N(p(S)) = W, \quad p(S)Tw = T(p(S)w) = TO = 0$$

$$\text{then } p(S)(Tw) = (p(S)T)w = T(p(S)w) = T0 = 0$$

$$\text{so } Tw \in N(p(S)) = W \text{ also.}$$

This says  $W$  is an invariant subspace for  $T$ . //

(20 points) 3. Let  $C$  and  $D$  be  $n \times n$  matrices over the field  $\mathbb{F}$ .

(a) Prove that if  $I - CD$  is invertible, then  $I - DC$  is also invertible and

$$(I - DC)^{-1} = I + D(I - CD)^{-1}C$$

Since  $I - CD$  is invertible,  $(I - CD)^{-1}$  exists as an  $n \times n$  matrix over  $\mathbb{F}$ . Let  $A = I + D(I - CD)^{-1}C$ .

$$\text{Then } A(I - DC) = (I + D(I - CD)^{-1}C)(I - DC)$$

$$= I(I - DC) + D(I - CD)^{-1}C(I - DC)$$

$$= I - DC + D(I - CD)^{-1}(C - CDC)$$

$$= I - DC + D(I - CD)^{-1}(I - CD)C$$

$$= I - DC + DIC = I - DC + DC = I$$

$$\text{Similarly, } (I - DC)A = (I - DC)(I + D(I - CD)^{-1}C)$$

$$= I - DC + (D - DCD)(I - CD)^{-1}C = I - DC + D(I - CD)(I - CD)^{-1}C$$

$$= I - DC + DIC = I - DC + DC = I.$$

Since  $(I - DC)A = I = A(I - DC)$ ,  $A$  is the inverse of  $I - DC$ , that is,  $A = (I - DC)^{-1}$  as required.

(b) Use this result to show that  $CD$  and  $DC$  have the same eigenvalues over the field  $\mathbb{F}$ .

Part (a) says if  $1$  is not an eigenvalue of  $CD$ , then  $1$  is not an eigenvalue of  $DC$ . The contrapositive of this is, if  $1$  is an eigenvalue of  $DC$  then  $1$  is an eigenvalue of  $CD$ . Reversing the roles of  $D$  and  $C$ , this gives  $1$  is an eigenvalue of  $CD$  if and only if  $1$  is an eigenvalue of  $DC$ .

Suppose  $\lambda \neq 0$  is a number in  $\mathbb{F}$ , and  $\lambda$  is an eigenvalue of  $CD$ , with eigenvector  $v$ , that is  $(CD)v = \lambda v$ . Then  $v$  is an eigenvector for  $(\frac{1}{\lambda} CD)$  with eigenvalue  $1$ :

$$(\frac{1}{\lambda} CD)v = \frac{1}{\lambda} CDv = \frac{1}{\lambda} (\lambda v) = 1v.$$

Part (a) says, then, that if  $1$  is an eigenvalue, for  $\lambda \neq 0$ , of  $(\frac{1}{\lambda} C)D$  then  $1$  is an eigenvalue of  $D(\frac{1}{\lambda} C) = \frac{1}{\lambda} DC$  and therefore that  $\lambda$  is an eigenvalue of  $DC$ .

This shows the non-zero eigenvalues of  $CD$  and  $DC$  are the same.

If  $0$  is not an eigenvalue of  $CD$ , then  $CD$  is invertible and  $R(CD) = \mathbb{F}^n$  and  $N(CD) = \{0\}$ . Since  $R(CD) \subset R(C)$ , we see  $R(C) = \mathbb{F}^n$  also and the rank-nullity theorem shows  $N(C) = \{0\}$  so  $C$  is invertible.

Since  $N(CD) > N(D)$  we see  $N(D) = \{0\}$  and the rank-nullity theorem shows  $R(D) = \mathbb{F}^n$  also.

And this means  $D$  is invertible.

In particular, then  $0$  not an eigenvalue of  $CD$  implies  $C$  and  $D$  are invertible and  $DC$  is invertible so  $0$  is not an eigenvalue of  $DC$  either. Thus  $CD$  and  $DC$  have all eigenvalues the same.

(20 points) 4. The complex number 1 is the only eigenvalue of the matrix  $G = \begin{pmatrix} 2 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 2 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$

(a) Find the characteristic polynomial and the minimal polynomial for  $G$ .

The characteristic polynomial of  $G$  is  $(x-1)^4$  because 1 is the only complex number that is an eigenvalue and  $G$  is a  $4 \times 4$  matrix so the characteristic polynomial of  $G$  has degree 4;  $(x-1)^4$  is the only 4<sup>th</sup> degree polynomial whose only complex root is 1.

$$G - I = \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & -1 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ 1 & 0 & 0 & -1 \end{pmatrix} \text{ so clearly } R(G - I) = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ -1 \\ 1 \\ 0 \end{pmatrix} \right\} \neq \{0\}$$

So minimal polynomial of  $G$  is not  ~~$(x-1)^4$~~   $p(x) = x-1$ .

$$\text{On the other hand } (G - I)^2 = \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & -1 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ 1 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & -1 \\ 0 & -1 & 1 & 0 \\ 0 & -1 & 1 & 0 \\ 1 & 0 & 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

and minimal polynomial is  $(x-1)^2$ .

(b) Find a matrix  $J$  in Jordan Canonical Form that is similar to  $G$ . Explain how you know that your answer is correct. (While one possible solution is finding a matrix  $S$  so that  $S^{-1}GS$  is in Jordan form, you aren't required to find an  $S$  if you can determine  $J$  in some other way.)

Because the minimal polynomial of  $G$  is  $(x-1)^2$   
there must be at least one block of form  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

There are two Jordan forms for  $4 \times 4$  matrices with only eigenvalue 1:

$$J_1 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \text{ and } J_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}. \text{ The first of these has }$$

$$\text{rank}(J_1 - I) = 1 \quad \text{and the second has rank}(J_2 - I) = 2$$

so, since  $\text{rank}(G - I)$  is 2,  
 $J_2$  is the Jordan form for  $G$ .

- (20 points) 5. Let  $R$  be an  $n \times n$  matrix with entries in  $\mathbb{C}$  that has  $n$  distinct eigenvalues. Prove that if  $Q$  is an  $n \times n$  matrix with  $QR = RQ$ , then there is a polynomial  $p$  for which  $Q = p(R)$ .

Since  $R$  is an  $n \times n$  complex matrix with  $n$  distinct eigenvalues, we know that  $R$  is diagonalizable; say  $S$  is a matrix whose columns are eigenvectors for  $R$  so that  $S^{-1}RS = D$  where  $D$  is the diagonal matrix with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$  along the diagonal.

$$\text{Now } S^{-1}QRS = (S^{-1}QS)(S^{-1}RS) = (S^{-1}QS)D$$

$$\text{and } S^{-1}RQS = (S^{-1}RS)(S^{-1}QS) = D(S^{-1}QS).$$

Since  $QR = RQ$ , we see that the matrix  $C = S^{-1}QS$  satisfies  $CD = DC$ . Writing  $C = (c_{ij})_{i,j=1}^n$  for  $C$

$$\text{and } D = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} \text{ we see}$$

$$CD = \begin{pmatrix} c_{11} & c_{12} - c_{1n} & & \\ c_{21} & c_{22} - c_{2n} & & \\ \vdots & & & \\ c_{n1} & c_{n2} - c_{nn} & \cdots & c_{nn} \end{pmatrix} \begin{pmatrix} \lambda_1 & & & \\ & \lambda_2 & & \\ & & \ddots & \\ & & & \lambda_n \end{pmatrix} = \begin{pmatrix} c_{11}\lambda_1 & c_{12}\lambda_2 - c_{1n}\lambda_n & & \\ c_{21}\lambda_1 & c_{22}\lambda_2 - c_{2n}\lambda_n & & \\ \vdots & \vdots & \ddots & \\ c_{n1}\lambda_1 & c_{n2}\lambda_2 - c_{nn}\lambda_n & \cdots & c_{nn}\lambda_n \end{pmatrix}$$

$$\text{and } DC = \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & \lambda_n & \\ & & & \lambda_n \end{pmatrix} \begin{pmatrix} c_{11} & c_{12} - c_{1n} & & \\ c_{21} & c_{22} - c_{2n} & & \\ \vdots & & & \\ c_{n1} & c_{n2} - c_{nn} & \cdots & c_{nn} \end{pmatrix} = \begin{pmatrix} \lambda_1 c_{11} & \lambda_1 c_{12} - \lambda_1 c_{1n} & & \\ \lambda_2 c_{21} & \lambda_2 c_{22} - \lambda_2 c_{2n} & \cdots & c_{2n}\lambda_2 \\ \vdots & \vdots & \ddots & \\ c_{nn}\lambda_n & c_{n2}\lambda_2 - c_{nn}\lambda_n & \cdots & c_{nn}\lambda_n \end{pmatrix}$$

Thus for  $i \neq j$  since  $\lambda_i \neq \lambda_j$  comparing the  $ij$  entry of  $CD$

which is  $c_{ij}\lambda_j$  with the  $ij$  entry of  $DC$  which is  $c_{ij}\lambda_i$  we get  $c_{ij}\lambda_i = c_{ij}\lambda_j$  or  $c_{ij}(\lambda_i - \lambda_j) = 0$

and  $c_{ij} = 0$  for  $i \neq j$ ; so that  $C$  is also diagonal!

Since  $\lambda_1, \dots, \lambda_n$  are distinct there is a polynomial  $P$  of degree  $n$  so that  $P(\lambda_i) = c_{ii}$  so  $C = P(D)$  Since  $R = SDS^{-1}$  and  $Q = SCS^{-1}$   $P(R) = P(SDS^{-1}) = S P(D) S^{-1} = SCS^{-1} = Q$ .

(20 points) 6.

$$\text{Let } H = \begin{pmatrix} -2 & 2 & 2 \\ 2 & 1 & 4 \\ 2 & 4 & 1 \end{pmatrix}$$

The characteristic polynomial for  $H$  is  $(x+3)^2(x-6)$ .The vector  $(1, 2, 2)$  is an eigenvector for the eigenvalue 6.Find a unitary matrix  $U$  so that  $U^T H U$  is a diagonal matrix.

$$(H + 3I) = \begin{pmatrix} -2 & 2 & 2 \\ 2 & 1 & 4 \\ 2 & 4 & 1 \end{pmatrix} + \begin{pmatrix} 3 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 3 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 2 \\ 2 & 4 & 4 \\ 2 & 4 & 4 \end{pmatrix}$$

Thus  $\begin{pmatrix} a \\ b \\ c \end{pmatrix} \in N(H+3I)$  if and only if  $a+2b+2c=0$ .Actually this is not surprising because  $H = H'$ , so the vectors in  $N(H+3I)$  must be orthogonal to vectors in the eigenspace $N(H-6I)$ , which is spanned by  $\begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}$ , so  $\langle \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}, \begin{pmatrix} a \\ b \\ c \end{pmatrix} \rangle = 0$ ,for  $\begin{pmatrix} a \\ b \\ c \end{pmatrix} \in N(H+3I)$ .  $N(H+3I) = \text{span} \left\{ \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} -2 \\ 0 \\ 1 \end{pmatrix} \right\}$ of course  $\begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}, \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} -2 \\ 0 \\ 1 \end{pmatrix}$  is not an orthonormal (or even orthogonal) basis, but  $\begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}$  and  $\begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}$  are orthogonal so we wanta vector  $\begin{pmatrix} a \\ b \\ c \end{pmatrix}$  in  $\mathbb{C}^3$  so that  $\langle \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}, \begin{pmatrix} a \\ b \\ c \end{pmatrix} \rangle = 0 = \langle \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} a \\ b \\ c \end{pmatrix} \rangle$ 

so  $\begin{cases} a+2b+2c=0 \\ -2a+b=0 \end{cases}$  this gives  $b=2a$  and  $a+2(2a)+2c=0$   
and  $c=-\frac{5}{2}a$

so  $a=2, b=4, c=-5$  satisfies  $\langle \begin{pmatrix} 1 \\ 2 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 4 \\ -5 \end{pmatrix} \rangle = \langle \begin{pmatrix} -2 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ 4 \\ -5 \end{pmatrix} \rangle = 0$ and we have an orthogonal basis for  $\mathbb{C}^3$  consisting of eigenvectors for  $H$ .and we  $u = \begin{pmatrix} 1/\sqrt{3} \\ 2/\sqrt{3} \\ 2/\sqrt{3} \end{pmatrix}$ ,  $v = \begin{pmatrix} -2/\sqrt{5} \\ 4/\sqrt{5} \\ 0 \end{pmatrix}$ ,  $w = \begin{pmatrix} 2/\sqrt{35} \\ 4/\sqrt{35} \\ -\sqrt{5}/3 \end{pmatrix}$  is an orthonormal basis andfor  $U = \begin{pmatrix} \frac{1}{\sqrt{3}} & -\frac{2}{\sqrt{5}} & \frac{2}{\sqrt{35}} \\ \frac{2}{\sqrt{3}} & \frac{4}{\sqrt{5}} & \frac{4}{\sqrt{35}} \\ \frac{2}{\sqrt{3}} & 0 & -\frac{\sqrt{5}}{3} \end{pmatrix}$  satisfies  $U^T H U = \begin{pmatrix} 6 & 0 & 0 \\ 0 & -3 & 0 \\ 0 & 0 & -3 \end{pmatrix}$ .

- (20 points) 7. (a) Prove that if  $S$  is an  $n \times n$  complex matrix such that  $S' = S$ , then the eigenvalues of  $S^2$  are non-negative real numbers.

Since  $S' = S$ , the eigenvalues of  $S$  are real numbers. If  $\lambda$  is an eigenvalue of  $S$  with eigenvector  $v$ , then  $S^2 v = \lambda^2 v$ , and the eigenvalues of  $S^2$  are all squares of real numbers, that is they are non-negative real numbers.

- (b) Let  $T$  be an  $n \times n$  complex matrix such that  $T' = T$ . Prove that if the eigenvalues of  $T$  are non-negative real numbers, then there is a matrix  $S$  with  $S' = S$  such that  $S^2 = T$  and the eigenvalues of  $S$  are all non-negative real numbers.

Suppose  $T = T'$  and the eigenvalues of  $T$  are non-negative real numbers. Now there's a

unitary matrix  $U$  so that  $U^* T U = D$

where  $D$  is a diagonal matrix with eigenvalues, that is, diagonal entries,  $\lambda_1, \lambda_2, \dots, \lambda_n$  where the  $\lambda_1, \lambda_2, \dots, \lambda_n$  are the eigenvalues of  $T$ .

Because each  $\lambda_j \geq 0$ , there is a non-negative real number  $\mu_j$  so that  $\mu_j^2 = \lambda_j$  (i.e.  $\mu_j = \sqrt{\lambda_j}$ ).

Let  $E$  be the diagonal matrix with entries

$\mu_1, \mu_2, \dots, \mu_n$ . Clearly  $E^2 = D$ . Now

let  $S = U E U'$ . We see  $S' = (U E U')' = U'' E' U' = U E U' = S$

and  $S^2 = (U E U')(U E U') = U E^2 U' = U D U' = U(U^* T U)U' = T$ .

(It is easy to see that the eigenvalues of  $S$  along with multiplicities are uniquely determined.)

(20 points) 8. The vectors  $u_1 = \begin{pmatrix} 1 \\ -1 \\ 1 \\ 0 \end{pmatrix}$ ,  $u_2 = \begin{pmatrix} 2 \\ 0 \\ 0 \\ -1 \end{pmatrix}$  and  $u_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \\ 1 \end{pmatrix}$  span the subspace  $\mathcal{U}$  in  $\mathbb{R}^4$ .

These vectors are expressed in terms of the usual, Euclidean basis for  $\mathbb{R}^4$ ,  $\mathcal{E}_4 = \{e_1, e_2, e_3, e_4\}$ , in the sense that  $u_1 = e_1 - e_2 + e_3$ .

- (a) Choose a vector  $u_4$  so that  $\mathcal{B} = \{u_1, u_2, u_3, u_4\}$  is a basis for  $\mathbb{R}^4$  and show that it is a basis.

Clearly  $u_2 \neq \alpha u_1$  for any  $\alpha$ , so  $\{u_1, u_2\}$  is linearly independent.  
 $u_3 \neq \alpha u_1 + \beta u_2 = \begin{pmatrix} \alpha \\ -\alpha \\ \alpha \\ 0 \end{pmatrix} + \begin{pmatrix} 2\beta \\ 0 \\ 0 \\ -\beta \end{pmatrix}$  because to make second coordinate of  $u_3$  be 0,  
means  $\alpha=0$  and  $u_3 \neq \beta u_2$  for any  $\beta$ , so  $\{u_1, u_2, u_3\}$  is linearly independent.  
Choose  $u_4 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$  [Of course there are many other choices possible!]  
For  $u_4 = \alpha u_1 + \beta u_2 + \gamma u_3$ , then from second coordinate, we must have  $\alpha = -1$   
and  $\gamma = \alpha = -1$  (from third coordinate) and  $\beta = -1$  from 4th coordinate, but  
 $u_4 \neq -u_1 - u_2 - u_3$  so  $\{u_1, u_2, u_3, u_4\}$  are linearly independent.

- (b) Find linear functionals  $f_1, f_2, f_3$ , and  $f_4$  that form a dual basis for  $\mathcal{B}$  (chosen in (a)), and thus form a basis for the dual space of  $\mathbb{R}^4$ . To explain precisely what linear functionals you mean, tell what the values of  $f_j$  acting on the basis  $\mathcal{E}_4$  are, that is, give the values  $f_j(e_1), f_j(e_2), f_j(e_3)$ , and  $f_j(e_4)$ , for  $1 \leq j \leq 4$ .

- (b) Find linear functionals  $f_1, f_2, f_3$ , and  $f_4$  that form a dual basis for  $\mathcal{B}$  (chosen in (a)), and thus form a basis for the dual space of  $\mathbb{R}^4$ . To explain precisely what linear functionals you mean, tell what the values of  $f_j$  acting on the basis  $\mathcal{E}_4$  are, that is, give the values  $f_j(e_1), f_j(e_2), f_j(e_3)$ , and  $f_j(e_4)$ , for  $1 \leq j \leq 4$ .

Having chosen  $u_4 = (0, 1, 0, 0)$ , we want to find  $f_1, f_2, f_3, f_4$

So that  $f_i(u_j) = 1$  if  $i=j$   $f_i(u_j) = 0$  if  $i \neq j$ .

In particular, we want  $f_1(u_1) = 1$ ,  $f_1(u_2) = f_1(u_3) = f_1(u_4) = 0$   
 In terms of the standard basis,  $\{e_1, e_2, e_3, e_4\}$ , letting  $f_1(e_1) = a$ ,  $f_1(e_2) = b$ ,  
 $f_1(e_3) = c$ ,  $f_1(e_4) = d$ , then  $f_1(u_1) = a - b + c = 1$ , and we get the

system 
$$\begin{cases} a - b + c = 1 \\ 2a - d = 0 \\ a - c + d = 0 \\ b = 0 \end{cases} \quad \begin{array}{l} \text{so } a = \frac{1}{4} \\ b = 0 \\ c = \frac{3}{4} \\ d = \frac{1}{2} \end{array}$$

for  $f_2$  we get 
$$\begin{cases} a - b + c = 0 \\ 2a - d = 1 \\ a - c + d = 0 \\ b = 0 \end{cases} \quad \begin{array}{l} \text{so } a = \frac{1}{4} \\ b = 0 \\ c = \frac{3}{4} \\ d = -\frac{1}{2} \end{array}$$

Continuing, and summarizing, we get

|                          |                           |                           |                          |
|--------------------------|---------------------------|---------------------------|--------------------------|
| $f_1(e_1) = \frac{1}{4}$ | $f_2(e_1) = \frac{1}{4}$  | $f_3(e_1) = \frac{1}{4}$  | $f_4(e_1) = \frac{1}{4}$ |
| $f_1(e_2) = 0$           | $f_2(e_2) = 0$            | $f_3(e_2) = 0$            | $f_4(e_2) = 1$           |
| $f_1(e_3) = \frac{3}{4}$ | $f_2(e_3) = -\frac{1}{4}$ | $f_3(e_3) = -\frac{1}{4}$ | $f_4(e_3) = \frac{3}{4}$ |
| $f_1(e_4) = \frac{1}{2}$ | $f_2(e_4) = -\frac{1}{2}$ | $f_3(e_4) = \frac{1}{2}$  | $f_4(e_4) = \frac{1}{2}$ |

## 8. (continued)

- (c) Find the annihilator of the subspace  $\mathcal{U}$ , that is, find  $\mathcal{U}^\circ$  the subspace of the dual of  $\mathbb{R}^4$  that annihilates the vectors in  $\mathcal{U}$ . Express your answer in terms of the vectors in the basis  $B^* = \{f_1, f_2, f_3, f_4\}$ .

Since  $\mathcal{U} = \text{span}\{u_1, u_2, u_3\}$ , we want to see which  $f$  in the dual of  $\mathbb{R}^4$  satisfy  $f(u) = 0$  for all  $u$  in  $\mathcal{U}$ .

Suppose  $f$  in  $\mathcal{U}^\circ$  is  $f = \alpha f_1 + \beta f_2 + \gamma f_3 + \delta f_4$  where  $f_1, f_2, f_3, f_4$  form the dual basis from part (b) because  $u_1, u_2$ , and  $u_3$  are in  $\mathcal{U}$  and every vector in  $\mathcal{U}$  is a linear combination of them.

$$\text{In particular if } f = \alpha f_1 + \beta f_2 + \gamma f_3 + \delta f_4 \text{ then } f(u_1) = \alpha f_1(u_1) + \beta f_2(u_1) + \gamma f_3(u_1) + \delta f_4(u_1) = \alpha \cdot 1 + \beta \cdot 0 + \gamma \cdot 0 + \delta \cdot 0 = \alpha$$

$$\text{for } f(u_1) = 0, \text{ then } \alpha = 0$$

$$\text{Similarly, } f(u_2) = 0 \Rightarrow \beta = 0$$

$$\text{and } f(u_3) = 0 \Rightarrow \gamma = 0$$

$$\text{Our conclusion then is } \mathcal{U}^\circ = \text{span}\{f_4\}.$$