

Grothendieck's Def'n of Chern/Stiefel-Whitney classes

$C_1(L_E)^n \in H^*(PE) \cong H^*(B) \otimes_{\mathbb{Z}} H^*(CP^{n-1})$. Since $H^*(CP^{n-1}) \cong \mathbb{Z}[\alpha]/(\alpha^n)$,
 there are unique $x_i \in H^{2i}(B)$ st. $C_1(L_E)^n = \sum (-1)^{n+i-1} q^*(x_{n-i}) \cup C_1(L_E)^i$,
 where $q: PE \rightarrow B$. We define $C_i(E) = x_i$ for $i=0, \dots, \dim(E)=n$.

We now need to check that these classes satisfy the axioms from the Thm on p. 1.

Axiom 1: Grothendieck's formula only defines the Chern/Stiefel-Whitney classes in the dimensions where they are allowed to be non-zero. We simply extend these defns by setting $C_k(E) = 0$ if $k > 2 \dim E$, $w_k(E) = 0$ if $k > \dim E$.

Axiom 3: If $\begin{matrix} \gamma \\ \downarrow \\ B \end{matrix}$ is a line bdl, then the projection $\begin{matrix} P(\gamma) \\ \downarrow \pi \\ B \end{matrix}$ is a homeomorphism, and we have a canonical isomorphism $\pi^* \gamma \cong L_\gamma$ of line bdl's over $P(\gamma) \cong B$. Grothendieck's formula then defines

$$C_1(L_\gamma) =: C_1(L) \cdot 1_{H^*(P(\gamma); \mathbb{Z})}$$

So our two definitions of C_1 for line bdl's agree (and similarly for w_1).

The Whitney Sum Formula (Axiom 2) will take some work, so first we explain naturality. We want the C_i, w_i to be characteristic classes, so we must show that in any diagram

$$\begin{matrix} f^*E & \xrightarrow{f} & E \\ \downarrow & & \downarrow \\ B' & \xrightarrow{f} & B \end{matrix}$$

we have $C_i(f^*(E)) = f^*(C_i(E)) \in H^*(B; \mathbb{Z})$
 (or $w_i(f^*(E)) = f^*(w_i(E)) \in H^*(B; \mathbb{Z}/2\mathbb{Z})$).

This naturality property follows from the fact that projective bdl's (and their tautological line bdl's) behave well under pullbacks: We have a diagram

$$\begin{array}{ccccc} L_{F^*E} & \xrightarrow{\cong} & (PF)^*L_E & \longrightarrow & L_E \\ \downarrow & & \downarrow & & \downarrow \\ P(F^*E) & \xrightarrow{\cong} & \tilde{F}^*(PE) & \xrightarrow{PF} & PE \\ & & \downarrow q' & & \downarrow q \\ & & B' & \xrightarrow{f} & B \end{array}$$

and now the eq'n

$$c_1(L_E)^n = \sum_{i=1}^n (-1)^{n-i} q_* c_i(E) \cup c_1(L_E)^{n-i}$$

pulls back to give

$$((PF)^*(c_1(L_E)))^n = \sum_{i=1}^n (-1)^{n-i} (PF)^* q_* c_i(E) \cup (PF)^*(c_1(L_E))^{n-i}$$

Since c_1 is already natural, we have $(PF)^*(c_1(L_E)) = c_1(L_{F^*E})$, so the classes $(PF)^*(c_i(E)) \in H^*(P(F^*E)) \cong \tilde{F}^*(PE)$ must be the Chern classes of F^*E (i.e. the unique classes satisfying Grothendieck's formula).

Before proving the Whitney Sum Formula, we need to introduce two more constructions on vector bdl's: tensor products and duals. We will follow MS §3, which gives a very general method for extending "continuous" functors on vector spaces to vector bdl's.

Def'n: Let Vect denote the category of finite dim'l V. spaces (over \mathbb{R} or \mathbb{C}) and isomorphisms. A functor $F: \text{Vect}^k \rightarrow \text{Vect}$ is continuous if each component

To prove the Whitney Sum Formula, we'll use the

following lemmas:

Lemma 1: For any line bdl $L \xrightarrow{p} X$, the line bdl $L \otimes L^* \xrightarrow{\quad} X$ is trivial. Here L^* is the dual bundle, constructed in the real case from the functor $V \mapsto \text{Hom}_{\mathbb{R}}(V, \mathbb{R}) \stackrel{\text{def}}{=} V^*$, and in the cplx case from $V \mapsto \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$.

Pf: If $v \in p^{-1}(x)$ is any non-zero vector, then set $S(x) = v \otimes v^*$, where $v^* \in \text{Hom}(p^{-1}(x), \mathbb{R})$ sends w to the unique $c \in \mathbb{R}$ s.t. $cw = v$ (i.e. c is the coordinate of w in the basis $\{v\}$). Note that if $c \neq 0$, then $(cv) \otimes (cv)^* = cv \otimes \frac{1}{c}v^* = v \otimes v^*$ so

S is well-defined and continuous. Since S is never zero, it follows that $L \otimes L^*$ is trivial. The cplx case is the same. \square

[Alternatively, $L \otimes L^* \cong \text{Hom}(L, L)$, and Id_L is a section of $\text{Hom}(L, L)$.]

Lemma 2: The first Chern/STW class is additive on line bdl's:

$$c_1(L_1 \otimes L_2) = c_1 L_1 + c_1 L_2 \in H^1(X; \mathbb{Z})$$

$$w_1(L_1 \otimes L_2) = w_1 L_1 + w_1 L_2 \in H^1(X; \mathbb{Z}/2).$$

We postpone the proof.

Proof of the Whitney Sum Formula:

Case 1: Say $E = L_1 \otimes \dots \otimes L_k$, a sum of line bdl's.

We will show that $c_1(E) = (1 + c_1 L_1)(1 + c_1 L_2) \dots (1 + c_1 L_k)$, as expected from iterated application of the WSF. It then follows that $c_1(L_1 \oplus \dots \oplus L_k) = \pi(L_1 \otimes \dots \otimes L_k) = \pi((1 + c_1 L_1) \dots (1 + c_1 L_k)) = c_1(L_1 \oplus \dots \oplus L_k)$, proving the WSF for sums of line bdl's.

Consider the bdlk q^*E , where $\begin{matrix} P(E) \\ \downarrow q \\ X \end{matrix}$ is the projective bdlk associated to E . Then there is an injective bdlk map $L_E \rightarrow q^*E$ (here $L \in P(E)$ and $l \in E$ is a point on L),
 $(L, l) \mapsto (L, l)$

Tensoring with L_E^* gives an injection

$$L_E \otimes L_E^* \hookrightarrow (q^*E) \otimes L_E^* \cong (q^*L_1 \oplus \dots \oplus q^*L_k) \otimes L_E^* \\ \cong (q^*L_1 \otimes L_E^*) \oplus \dots \oplus (q^*L_k \otimes L_E^*)$$

The section of $L_E \otimes L_E^*$ (Lemma 1) gives a section s of $\bigoplus_{i=1}^k q^*L_i \otimes L_E^*$, and projecting to the factors yields

sections s_i of $q^*L_i \otimes L_E^*$. Let $V_i \subseteq P(E)$ be the open set on which s_i is non-zero. Then since $s = \sum_{i=1}^k s_i$ is never zero, we must have $\bigcup_{i=1}^k V_i = P(E)$. Now, note that $(q^*L_i \otimes L_E^*)|_{V_i}$ is trivial, so $c_1(q^*L_i \otimes L_E^*|_{V_i}) = 0$.

By naturality of c_1 , we have $c_1(q^*L_i \otimes L_E^*)|_{V_i} = 0$,

where $|_{V_i}$ indicates the map on cohomology $H^2(PE) \rightarrow H^2(V_i)$.

By exactness of the relative cohomology sequences $\dots \rightarrow H^2(PE, V_i) \xrightarrow{j_i} H^2(PE) \rightarrow H^2(V_i) \rightarrow \dots$, there exist classes $\gamma_i \in H^2(PE, V_i)$ s.t. $j_i(\gamma_i) = c_1(q^*L_i \otimes L_E^*)$. The relative cup product $\gamma_1 \cup \dots \cup \gamma_k$ lies in $H^2(PE, \bigcup_{i=1}^k V_i) = H^2(PE, PE) = 0$.

But for any pair $U, V \subseteq X$, with U, V open, the diagram



$$H^*(Y, A) \times H^*(Y, B) \xrightarrow{\cup} H^*(Y, A \cup B)$$

$$\downarrow j_A \times j_B$$

$$\downarrow j_{A \cup B}$$

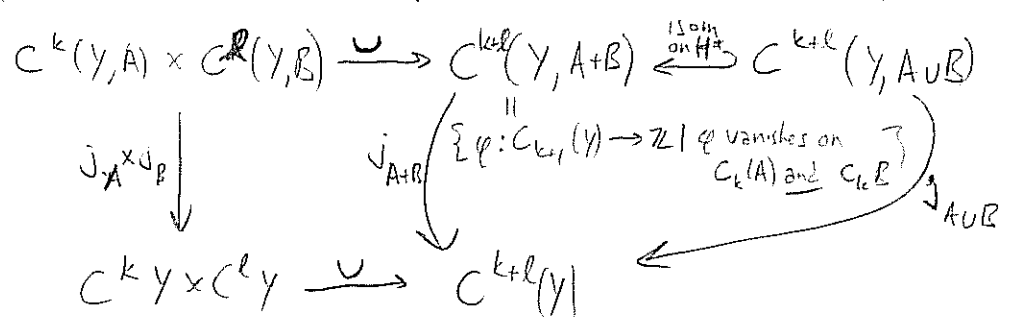
$$H^*(Y) \times H^*(Y) \xrightarrow{\cup} H^*(Y)$$

Commutates, where the vertical maps come from the LES of the pairs.

In our case, this says that $j(\gamma_1 \cup \dots \cup \gamma_k) = \pi(j_i \delta_i) = \pi c_i(q^* L_i \otimes L_E^*)$,

Where $j: H^*(PE, PE) \rightarrow H^*PE$. Since $H^*(PE, PE) = 0$, we have $\pi c_i(q^* L_i \otimes L_E^*) = 0$. (☆☆)

[Aside: Commutativity of (☆) follows by tracing the def's in Hatcher (§3.2, p.209). The relative cup product is defined via top line in the following diagram:



Where \cup in both cases is given by the usual formula:

$$\varphi \cup \psi (\sigma) = \varphi(\sigma|_{[v_0, \dots, v_k]}) \psi(\sigma|_{[v_{k+1}, \dots, v_{k+l}]})$$

It's quick to check this diagram commutes, so (☆) commutes too.

Continuing, we have $C_1(L_{E+B}, L_E^*) \stackrel{\text{Lemma 2}}{=} C_1(L_E^* \otimes L_E^*) \stackrel{\text{Lemma 1}}{=} 0$,

So $c_1(L^*) = -c_1(L)$. Thus Equation ~~(*)~~ becomes 6

$$\prod_{i=1}^k (c_1(q^*L_i) - c_1(L_E)) = 0,$$

i.e.

$$(c_1(L_E))^k = (-1)^{k+1} \left(\sum_{l=1}^k (-1)^{k+l} \left(\sum_{1 \leq i_1 < \dots < i_l \leq k} q^*(c_1(L_{i_1}) \cup \dots \cup c_1(L_{i_l})) \right) (c_1(L_E))^{k-l} \right)$$

Hence by our def'n of Chern/Stiefel-Whitney classes, we find that

$$(-1)^{k-l} \sum_{1 \leq i_1 < \dots < i_l \leq k} q^*(c_1(L_{i_1}) \cup \dots \cup c_1(L_{i_l})) = (-1)^{l+1} c_l(E)$$

i.e. $c_l(E) = \sum_{1 \leq i_1 < \dots < i_l \leq k} c_1(L_{i_1}) \cup \dots \cup c_1(L_{i_l}) \in H^{2l}(X)$.

So $c(E) = 1 + c_1 E + \dots + c_k E = \prod_{i=1}^k (1 + c_1 L_i)$ as claimed.

We now deduce the general case. Given any $\begin{matrix} E \\ \downarrow \\ X \end{matrix}$, the

linear injection $L_E \hookrightarrow q^*E$ induces a splitting $q^*E = L_E \oplus L_E^\perp$ (see HW2) of bdl's over $P(E)$. We can apply the

same principle again, and we find that the pullback of $q^*L_E^\perp$ over $P(q^*E)$ splits as $L_1 \oplus L_2 \oplus E''$ with L_1, L_2 line bdl's.

Iterating, we find that there is a map $\tilde{X} \xrightarrow{\pi} X$ such that π^*E is a sum of line bdl's, and $\pi^*: H^*X \rightarrow H^*\tilde{X}$ is a

composite of injections (of the form $q^*: H^*P(\mathbb{F}) \rightarrow H^*Y$ for various bdl's $\begin{matrix} \mathbb{F} \\ \downarrow \\ Y \end{matrix}$)

Now if E, E' are two bdlrs, let $X_1 \xrightarrow{\pi_1} X$ be such a map

for E , and let $X_2 \xrightarrow{\pi_2} X_1$ be such a map for $\pi_1^* E'$.

Then over X_2 , we have $(\pi_2 \circ \pi_1)^* E = L_1 \oplus \dots \oplus L_n$ and

$(\pi_2 \circ \pi_1)^* E' = L'_1 \oplus \dots \oplus L'_m$ for some line bdlrs L_i, L'_j .

Hence by the previous case,

$$C((\pi_2 \circ \pi_1)^* E \oplus (\pi_2 \circ \pi_1)^* E') = C((\pi_2 \circ \pi_1)^* E) \cup C((\pi_2 \circ \pi_1)^* E')$$

i.e. $(\pi_2 \circ \pi_1)^* (C(E \oplus E')) = (\pi_2 \circ \pi_1)^* (C(E) \cup C(E'))$

But $\pi_2 \circ \pi_1^*: H^*(X) \rightarrow H^*(X_2)$ is injective, so we have

$$C(E \oplus E') = C(E) \cup C(E') \text{ in } H^*(X). \quad \square$$

Rmk: The previous method is known as the

Splitting Principle: heuristically, it says that

if one wants to derive a formula for all bdlrs, one

just finds a formula that works for sums of line

bdlrs, and then checks that it extends (by the above

method). The main pt. is that for every bdlr E over X , there

is a map $X' \rightarrow X$ s.t. $f^*: H^*(X') \hookrightarrow H^*(X)$, and $f^* E$ is a sum of line bdlrs.

Lecture 9

Another nice application of the Splitting Principle is the uniqueness of Chern/Stiefel-Whitney classes.

Theorem: The classes w_i, c_i we have defined are the only sequences of real/cplx char. classes satisfying the 3 axioms.

PF: Let $\begin{matrix} E \\ \downarrow \\ X \end{matrix}$ be a (cplx, say) bdl, and let $X' \xrightarrow{f} X$ be a map s.t. f^* is injective on cohomology, and $f^*E = L \oplus \dots \oplus L_k$ for line bdl's L_1, \dots, L_k . Then if $\beta = 1 + \beta_1 + \dots + \beta_k$ are char. classes satisfying the axioms, we have

$$\begin{aligned} f^*(\beta(E)) &= \beta(f^*E) = \beta(L_1 \oplus \dots \oplus L_k) \stackrel{WSF}{=} \prod_{i=1}^k \beta(L_i) \\ &= \prod_{i=1}^k (1 + \beta_1(L_i)) = \prod_{i=1}^k (1 + c_1(L_i)) \stackrel{WSF}{=} c(\oplus L_i) \\ &\quad \uparrow \text{axioms} \Rightarrow \beta_2, \beta_3, \dots \text{ vanish on line bdl's} \quad \uparrow \text{the axioms determine values on line bdl's} \\ &= c(f^*E) = f^*c(E). \end{aligned}$$

Since f^* is injective, we have $\beta(E) = c(E)$. \square

PF of Lemma 2: (Real case) If L_1, L_2 are \mathbb{R} -bdl's, we must show that $w_1(L_1 \otimes L_2) = w_1 L_1 + w_1 L_2$. Since we defined w_1 in terms of pullbacks along loops, this means we just need to check that if $\begin{matrix} N_1 \\ \searrow \\ S' \end{matrix} \leftarrow \begin{matrix} N_2 \end{matrix}$ are line bundles, then $N_1 \otimes N_2$ is non-trivial \iff exactly one of the N_i is non-trivial.

The only bdl's over S' are $\begin{matrix} \mathbb{R} \times S' \\ \downarrow \\ S' \end{matrix}$ and $\begin{matrix} \gamma_1' \\ \downarrow \\ S' = \mathbb{R}P^1 \end{matrix}$, so we just need to check that

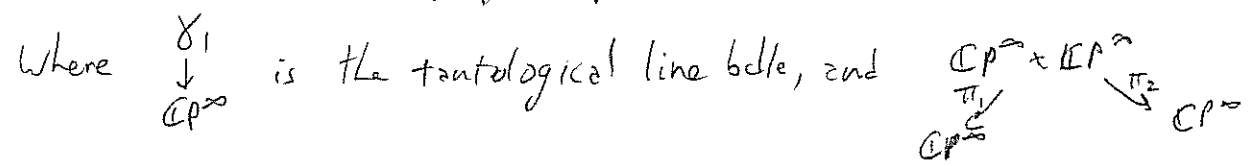
$\gamma_1' \otimes \gamma_1'$ is trivial. Choosing a metric gives $\gamma_1' \otimes \gamma_1' \cong \gamma_1' \otimes (\gamma_1')^* \cong S' \times \mathbb{R}$. \square

[The last step can also be done using clutching fns.]

section $x \mapsto u_x \otimes (u_x \rightarrow 1)$ where $u_x \in (\gamma_1')_x$ is a unit vector.

To establish additivity of the first Chern class, we take a more homotopy-theoretical approach. We will show that tensor product gives $\mathbb{C}P^\infty$ a multiplicative structure (up to homotopy), which then induces both tensor product of line bdlrs and addition of first cohomology classes. (This works equally well for U_1 and $\mathbb{R}P^\infty$.)

To construct a "multiplication" $\mathbb{C}P^\infty \times \mathbb{C}P^\infty \xrightarrow{\mu} \mathbb{C}P^\infty$, it suffices to name a line bdlr over $\mathbb{C}P^\infty \times \mathbb{C}P^\infty$ (and then μ will be the classifying map. We will use the bdlr $\pi_1^* \gamma_1 \otimes \pi_2^* \gamma_1$,



are the projections.

Claim: If $\mu: \mathbb{C}P^\infty \times \mathbb{C}P^\infty \rightarrow \mathbb{C}P^\infty$ classifies $\pi_1^* \gamma_1 \otimes \pi_2^* \gamma_1$ (i.e. $\mu^* \gamma_1 \cong \pi_1^* \gamma_1 \otimes \pi_2^* \gamma_1$) then

1) If $i_1: \mathbb{C}P^\infty \rightarrow \mathbb{C}P^\infty \times \mathbb{C}P^\infty$ and $i_2: \mathbb{C}P^\infty \rightarrow \mathbb{C}P^\infty \times \mathbb{C}P^\infty$
 $x \mapsto (x, *)$ $x \mapsto (*, x)$

are the inclusions, then $\mu i_1, \mu i_2: \mathbb{C}P^\infty \rightarrow \mathbb{C}P^\infty$ are homotopic to the identity (for any $*$ in $\mathbb{C}P^\infty$);

2) If $\begin{array}{c} L \\ \downarrow \\ X \end{array}$ is classified by $f: X \rightarrow \mathbb{C}P^\infty$ and $\begin{array}{c} M \\ \downarrow \\ X \end{array}$ is classified by $g: X \rightarrow \mathbb{C}P^\infty$, then $\begin{array}{c} L \otimes M \\ \downarrow \\ X \end{array}$ is classified by $\mu \circ (f, g)$.

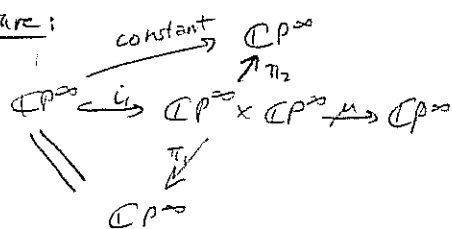
Proof: 1) To check that μ_1, μ_2 are homotopic to $\text{Id}_{\mathbb{C}P^\infty}$, (10)

it suffices to check that $(\mu_1)^* \gamma_1, (\mu_2)^* \gamma_1$ are isomorphic to $\gamma_1 = \text{Id}^*(\gamma_1)$. We have

$$\begin{aligned} (\mu_1)^* \gamma_1 &\cong i_1^* \mu^* \gamma_1 \cong i_1^* (\pi_1^* \gamma_1 \otimes \pi_2^* \gamma_1) \\ &\cong (\pi_1, i_1)^* \gamma_1 \otimes \underbrace{(\pi_2, i_1)^* \gamma_1}_{\text{constant}} \cong (\text{Id})^* \gamma_1 \otimes (X \times \mathbb{C}) \\ &\cong \gamma_1 \end{aligned}$$

and similarly $(\mu_2)^* \gamma_1 \cong \gamma_1$.

Picture:



2) If $F^* \gamma_1 = L, g^* \gamma_1 = M$,
then $(\mu(f,g))^* (\gamma_1) = (f,g)^* (\pi_1^* \gamma_1 \otimes \pi_2^* \gamma_1)$

$$= (\pi_1 \circ f, g)^* \gamma_1 \otimes (\pi_2 \circ g)^* \gamma_1 = f^* \gamma_1 \otimes g^* \gamma_1 = L \otimes M. \square$$

To compute $c_1(L \otimes M)$, we need to know a bit about the cohomology of $\mathbb{C}P^\infty \times \mathbb{C}P^\infty$. The following result is a weak form of the Kunneth Thm, and is proven in Hatcher (Chap. 3, p. 218-223).

Kunneth Thm: If X, Y are CW cplx's with $H^*(Y; \mathbb{Z})$ torsion-free,

then the map $H^*(X; \mathbb{Z}) \times H^*(Y; \mathbb{Z}) \rightarrow H^*(X \times Y; \mathbb{Z})$ induces an isomorphism

$$H^*(X; \mathbb{Z}) \otimes H^*(Y; \mathbb{Z}) \xrightarrow{\cong} H^*(X \times Y; \mathbb{Z}).$$

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Since $\mathbb{C}P^\infty$ is a CW cplx and $H^*(\mathbb{C}P^\infty; \mathbb{Z}) = \mathbb{Z}[\alpha]$, the theorem applies in our setting.

Theorem: If $\begin{matrix} L & & M \\ & \searrow & \swarrow \\ & X & \end{matrix}$ are line bdlrs over a paracomp space, then $c_1(L \otimes M) = c_1 L + c_1 M \in H^2(X; \mathbb{Z})$.

PF: Let $f, g: X \rightarrow \mathbb{C}P^\infty$ classify L and M (respectively).

Then by the claim, $\mu_0(f, g)$ classifies $L \otimes M$, so we just need to show that $(\mu_0(f, g))^*(\alpha) = f^*\alpha + g^*\alpha$ (where $\alpha \in H^2(\mathbb{C}P^\infty; \mathbb{Z})$ is the canonical generator, i.e. $\alpha = c_1(\gamma_1)$).

By the Kunneth Theorem, we can write $\mu^*\alpha = \sum \beta_i \otimes \gamma_i$, where $\deg(\beta_i) + \deg(\gamma_i) = \deg(\mu^*\alpha) = 2$. So since $H^1(\mathbb{C}P^\infty; \mathbb{Z}) = 0$, we in fact can write $\mu^*\alpha = \beta \otimes 1 + 1 \otimes \gamma$, with $\beta, \gamma \in H^2(\mathbb{C}P^\infty; \mathbb{Z})$.

We claim that $\beta = \gamma = \alpha$: this is where we use Part 1 of the Claim on p. 3.

Letting $i_1: \mathbb{C}P^\infty \hookrightarrow \mathbb{C}P \times \mathbb{C}P^\infty$ be the first inclusion, we have

$$\begin{aligned} i_1^*(\beta \otimes 1 + 1 \otimes \gamma) &= i_1^*(\beta \otimes 1) + i_1^*(1 \otimes \gamma) \stackrel{\text{Kunneth isom}}{=} i_1^*(\pi_1^*\beta \cup \pi_2^*(1)) + i_1^*(\pi_1^*1 \cup \pi_2^*\gamma) \\ &= (i_1^*\pi_1^*\beta) \cup 1 + 1 \cup (i_1^*\pi_2^*\gamma) = \beta. \end{aligned}$$

But $\mu \circ i_1 \cong \text{Id}_{\mathbb{C}P^\infty}$, so $i_1^*(\beta \otimes 1 + 1 \otimes \beta) = i_1^*\mu^*(\alpha) = \alpha$. So $\beta = \alpha$, and similarly $\gamma = \alpha$. \square

Now $c_1(L \otimes M) = (f, g)^*\mu^*\alpha = (f, g)^*(\alpha \otimes 1 + 1 \otimes \alpha) = f^*\alpha + g^*\alpha$ as desired. \square